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Day: Friday Date: 10/20/2006

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# **Application Number Information**

Application Number: 09/464902

**Assignments** 

Filing or 371(c) Date: 12/16/1999

Effective Date: 12/16/1999

Application Received: 12/16/1999

Patent Number:

Issue Date: 00/00/0000

Date of Abandonment: 00/00/0000

Attorney Docket Number: 57906-AJPW/S

Status: 71 /RESPONSE TO NON-FINAL OFFICE ACTION ENTERED AND FORWARDED TO EXAMINER

Confirmation Number: 8227

Interference Number:

L&R Code: Secrecy Code:1

Unmatched Petition: NO

Group Art Unit: 1648

Class/Subclass:

Lost Case: NO

424/148.100

Third Level Review: NO

Examiner Number: 79936 / LE, EMILY

Desc.

Status Date: 09/23/2006

Secrecy Order: NO

Waiting for Response

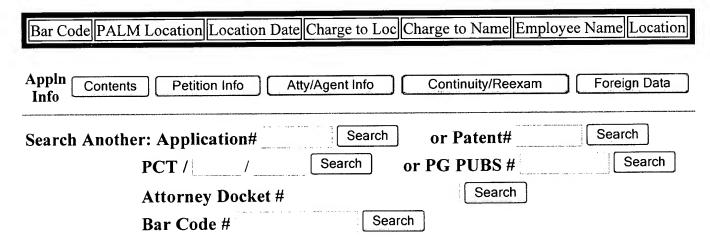
**Prior Art Filed** 

IFW IMAGE

Oral Hearing: NO

Title of Invention: SYNERGISTIC INHIBITION OF HIV-1 FUSION AND ATTACHMENT,

COMPOSTIONS AND ANTIBODIES THERETO



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Dkt. 57906-A/JPW/AG

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicants: William C. Olson and Paul J. Maddon

Serial No.: 09/464,902 Group Art Unit: 1648

Filed: December 16, 1999 Examiner: Emily Le

For : NUCLEIC ACIDS ENCODING POLYPEPTIDES OF ANTI-

CCR5 ANTIBODIES

1185 Avenue of the Americas New York, New York 10036 September 15, 2006

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# AMENDMENT IN RESPONSE TO APRIL 19, 2006 OFFICE ACTION, PETITION FOR TWO-MONTH EXTENSION OF TIME AND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This Amendment is submitted in response to the April 19, 2006 Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the April 19, 2006 Office Action was originally due on July 19, 2006. Applicants hereby petition for a two-month extension of time. A two-month extension of time for a small entity is two hundred and twenty five dollars (\$225.00), and a check including this amount is enclosed. A response to the April 19, 2006 Office Action is now due on September 19, 2006. Accordingly, this Amendment is being timely filed.

Amendments to the Abstract begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Amendments to the Drawings begin on page 4 of this paper.

Remarks begin on page 10 of this paper.

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A Supplemental Information Disclosure Statement begins on page 13 of this paper.

U.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 13

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. \$1.56, applicants direct the Examiner's attention to the following references which are listed on forms PTO-SB08A and PTO-SB08B (substitute for form PTO-1449) attached hereto as Exhibit C.

This Supplemental Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97 (c)(2) before the mailing of a final office action. Pursuant to C.F.R. §1.17(p) the fee for filing this Supplemental Information Disclosure Statement is \$180.00 and a check including this amount is enclosed.

In accordance with 37 C.F.R. §1.92(a)(2)(ii), copies of the U.S. Patents and U.S. Patent Application Publications listed herein are not provided. Accordingly, copies of documents listed below as items 1-21 are not submitted herewith. Copies of documents listed below as items 22-66 are attached hereto as Exhibits 1-45.

- U.S. Patent No. 6,025,154 issued October 5, 2004;
- 2. U.S. Patent No. 6,265,184 issued July 24, 2001;
- U.S. Patent No. 6,268,477 issued July 31, 2002;
- U.S. Patent No. 6,448,375 issued September 10, 2002;
- U.S. Patent No. 6,743,594 issued June 1, 2004;
- 6. U.S. Patent No. 6,797,811 issued September 28, 2004;
- 7. U.S. Patent No. 6,261,763 B1 issued July 17, 2001;

U.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 14

8. U.S. Patent No. 6,972,126 issued December 6, 2005;

- 9. U.S. Patent Application Publication No. 2002-0150888 published October 17, 2002;
- 10. U.S. Patent Application Publication No. 2004-0161739 published August 19, 2004;
- 11. U.S. Patent Application Publication No. 2004-0230037 published November 18, 2004;
- 12. U.S. Patent Application Publication No. 2004-0110127 published June 10, 2004;
- 13. U.S. Patent Application Publication No. 2005-0154193 published July 14, 2005;
- 14. U.S. Patent Application Publication No. 2003-0100058
   published May 29, 2003;
- 15. U.S. Patent Application Publication No. 2003-0166024 published September 4, 2003;
- 16. U.S. Patent Application Publication No. 2004-0151719
   published August 08, 2004;
- 17. U.S. Patent Application Publication No. 2001-0000241 published April 12, 2001;
- 18. U.S. Patent Application Publication No. 2001-0046512 A1 published November 29, 2001;
- 19. U.S. Patent Application Publication No. 2006-0029932 published February 9, 2006;

U.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 15

20. U.S. Patent Application Publication No. 2002-0045161 published April 18, 2002;

- 21. U.S. Patent Application Publication No. 2006-0140977 Alpublished June 29, 2006;
- 22. U.S. Patent Application Serial No. 09/118,415 filed July 17, 1998 (now abandoned) (Exhibit 1);
- 23. U.S. Patent Application Serial No. 11/258,963 filed October 25, 2005 (Exhibit 2);
- 24. U.S. Patent Application Serial No. 11/316,078 filed December 21, 2005 (Exhibit 3);
- 25. U.S. Patent Application Serial No. 11/400,497 filed April
  7, 2006 (Exhibit 4);
- 26. U.S. Patent Application Serial No. 08/875,515 filed June 7, 1995 (now abandoned) (Exhibit 5);
- 27. U.S. Patent Application Serial No. 08/663,171 filed June 14, 1996 (now abandoned) (Exhibit 6);
- 28. U.S. Patent Application Serial No. 09/212,793 filed December 16, 1998 (Exhibit 7);
- 29. U.S. Provisional Application No. 60/112,532 filed December 16, 1998 (Exhibit 8);
- 30. PCT International Application Publication No. WO 95/16879 published June 22, 1995 (Exhibit 9);

'U.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 16

31. PCT International Application Publication No. WO 96/41020 published December 19, 1996 (Exhibit 10);

- 32. PCT International Application Publication No. WO 97/37005 published October 27, 1997 (Exhibit 11);
- 33. PCT International Application Publication No. WO 97/47319 published December 18, 1997 (Exhibit 12);
- 34. PCT International Application Publication No. WO 02/064612 A2 published August 22, 2002 (Exhibit 13);
- 35. PCT International Application Publication No. WO 01/58915
  A2 published August 16, 2001 (Exhibit 14);
- 36. PCT International Application Publication No. WO 01/58916
  A2 published August 16, 2001 (Exhibit 15);
- 37. PCT International Application Publication No. WO 96/39437
  A2 published December 12, 1996 (Exhibit 16);
- 38. PCT International Application Publication No. WO 97/22698 published June 26, 1997 (Exhibit 17);
- 39. PCT International Application Publication No. WO 97/44055 published November 27, 1997 (Exhibit 18);
- 40. PCT International Application Publication No. WO 97/032019 published September 4, 1997 (Exhibit 19);
- 41. European Patent Application No. 96870021.1 filed March 1. 1996 (Exhibit 20);
- 42. European Patent Application No. 96870102.9 filed August 6, 1996 (Exhibit 21);

Ŭ.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 17

43. European Patent Application Publication No. 1145721 A2 published October 17, 2001 (Exhibit 22);

- 44. European Patent Application Publication No. 1146055 A2 published October 17, 2001 (Exhibit 23);
- 45. European Patent Application Publication No. 1146122 A2 published October 17, 2001 (Exhibit 24);
- 46. European Patent Application Publication No. 1148126 A2 published October 24, 2001 (Exhibit 25);
- 47. European Patent Application Publication No. 1148127 A2 published October 24, 2001 (Exhibit 26);
- 48. European Patent Application Publication No. 1149582 A2 published October 31, 2001 (Exhibit 27);
- 49. European Patent Application Publication No. 1199360 A2 published April 24, 2002 (Exhibit 28);
- 50. European Patent Application Publication No. 1482042 Al published December 1, 2004 (Exhibit 29);
- 51. European Patent Application Publication No. 0815137 published December 12, 1996 (Exhibit 30);
- 52. European Patent No. 0883687 B1 issued November 27, 2004 (Exhibit 31);
- 53. Canadian Patent Publication No. 2216990 published December 27, 1997 (Exhibit 32);

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54. Dean, M. et al., (1996) "Genetic Restriction Of HIV-1 Infection And Progression To AIDS By A Deletion Allele Of The CKR5 Structural Gene", Science 273:1856-1862 (Exhibit 33);

- 55. He, J. et al., (1997) "CCR3 And CCR5 Are Co-Receptors For HIV-1 Infection Of Microglia", Nature 385:645-649 (Exhibit 34);
- of Phage-Displayed Random Peptide Library Reveals Mimotopes Of Chemokine Receptor CCR5: Implications For The Tertiary Structure Of The Receptor And For An N-Terminal Binding Site For HIV-1 Gp120", Eur. J. Immnol. 30(4):1162-1171 (Exhibit 35);
- 57. Lee, B. et al., (1999) "Epitope Mapping Of CCR5 Reveals Multiple Conformational States And Distinct But Overlapping Structures Involved In Chemokine Coreceptor Function", J. Biol. Chem. 274(14):9617-9626 (Exhibit 36);
- 58. Mackay, C.R., (1996) "Chemokine Receptors And T Cell Chemotaxis", J. Exp. Med 184:799-802 (Exhibit 37);
- 59. Raport, C.J. et al., (1996) "Molecular Cloning And Functional Characterization Of A Novel Human CC Chemokine Receptor (CCR5) For RANTES, MIP-1β, And MIP-1α", J.Biol. Chem. 271(29):1761-17166 (Exhibit 38);
- 60. Samson, M. et al., (1996) "Molecular Cloning And Functional Expression Of A New Human CC-Chemokine Receptor Gene", Biochem. 35:3362-3367 (Exhibit 39);

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61. Steinberger, P. et al., (2000) "Generation And Characterization Of A Recombinant Human CCR5-Specific Antibody", J. Biol. Chem. 275:36073-36078 (Exhibit 40);

- 62. Wu, L. et al., (1996) "CD4-Induced Interaction Of Primary HIV-1 Gpl20 Glycoproteins With The Chemokine Receptor CCR-5", Nature 384:179-183 (Exhibit 41);
- 63. PCT International Preliminary Examination Report issued April 5, 2006 for International Application Publication No. WO 03/072766 (Exhibit 42);
- 64. PCT International Preliminary Examination Report issued September 28, 2005 for International Application No. WO 03/072766 (Exhibit 43);
- 65. Supplementary European Search Report issued April 21, 2006 for European Application No. 03713632 (Exhibit 44); and
- 66. PCT International Search Report issued June 7, 2000 for International Application Publication No. WO 00/35409 (Exhibit 45).

U.S. Serial No. : 09/464,902

Filed: December 16, 1999

Page 20

03-3125.

No fee, except for the \$225.00 fee for a one-month extension of time and \$180.00 fee for filing this Supplemental Information Disclosure Statement, is deemed necessary in connection with the filing of this Amendment. However, if any additional fee is required, authorization is hereby given to

charge the amount of any such fee to Deposit Account Number

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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John P. White Reg. No. 28,678

Date

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New York, New York 10036

Tel. No. (212) 278-0400

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Form PTO-14493

# U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT (Use Second Decision of the control of the contr

Application Number	09/464,902		
Filing Date	December 16, 1999		
First Named Inventor	Olson et al.		
Art Unit	1648		
Examiner Name	Emily Lee		
Attorney Docket No.	57906-A/JPW/AG		

Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>1 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		6,025,154	10-10-2004	
		6,265,184	07-24-2001	
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Examiner Initials	Cite No.1	Foreign Patent Document Country Code <sup>b</sup> Number <sup>a</sup> Kind Code <sup>5 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		WO1995/16879	06-22-1995		
		WO1996/41020	12-19-1996		
		WO1997/37005	10-27-1997		
		WO1997/47319	12-18-1997		
		WO2002/064612	08/22/2002		
		WO2001/58915	08-16-2001		
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Applicants: William C. Olson

and Paul J. Maddon

U.S. Serial No. 09/464,902 Filed: December 16, 1999

Exhibit C

U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSERE STATEMENT (Use several sheet the next ary) U.S. Department of Commerce

	Page Z OF 4
Application Number	09/464,902
Filing Date	December 16, 1999
First Named Inventor	Olson et al.
Art Unit	1648
Examiner Name	Emily Lee
Attorney Docket No.	57906-A/JPW/AG

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		WO2001/58916	08-16-2001		
		WO1996/39437	12-12-1996		
		WO1997/22698	06-26-1997		
-		WO1997/44055	11-27-1997		
		WO1997/032019	09-04-1997		
		EP 96870021.1	03-01-1996		
		EP 96870102.9	08-06-1996		
		EP 1145721	10-17-2001		
		EP 1146055	10-17-2001		
		EP 1146122	10-17-2001		
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## **U.S. Department of Commerce** Patent and Trademark Office

INFORMATION DISCOSURE STATEMENT (Use several sheets it necessary)

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Art Unit	1648
Examiner Name	Emily Lee
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#### FOREIGN PATENT DOCUMENTS

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		EP 1148126	10-24-2001		
		EP 1148127	10-24-2001		
		EP 1149582	10-31-2001		
		EP 1199360	04-24-2002		
		EP 1482042	12-01-2004		
		EP 0815137	12-12-1996		
		EP 0883687	11-27-2004		
		CA 2216990	12-27-1997		
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EXAMINER			DATE CONSIDERED		<u> </u>

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**U.S. Department of Commerce** Patent and Trademark Office INFORMATION DISCO (Use several shopping sary) OSURE CITATION

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Art Unit	1648
Examiner Name	Emily Lee
Attorney Docket No.	57906-A/JPW/AG

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Dean, M. et al., (1996) "Genetic Restriction Of HIV-1 Infection And Progression To AIDS By A Deletion Allele Of The CKR5 Structural Gene", Science 273:1856-1862	
		He, J. et al., (1997) "CCR3 And CCR5 Are Co-Receptors For HIV-1 Infection Of Microglia", Nature 385:645-649	
		Konigs, C. et al., (2000) "Monoclonal Antibody Screening Of Phage-Displayed Random Peptide Library Reveals Mimotopes Of Chemokine Receptor CCR5: Implications For The Tertiary Structure Of The Receptor And For An N-Terminal Binding Site For HIV-1 Gp120", Eur. J. Immnol. 30(4):1162-1171	
		Lee, B. et al., (1999) "Epitope Mapping Of CCR5 Reveals Multiple Conformational States And Distinct But Overlapping Structures Involved In Chemokine Coreceptor Function", J. Biol. Chem. 274(14):9617-9626	
		Mackay, C.R., (1996) "Chemokine Receptors And T Cell Chemotaxis", J. Exp. Med 184:799-802	
		Raport, C.J. et al., (1996) "Molecular Cloning And Functional Characterization Of A Novel Human CC Chemokine Receptor (CCR5) For RANTES, MIP-1 $\beta$ , And MIP-1 $\alpha$ ", J.Biol. Chem. 271(29):1761-17166	
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		Steinberger, P. et al., (2000) "Generation And Characterization Of A Recombinant Human CCR5-Specific Antibody", J. Biol. Chem. 275:36073-36078	
		Wu, L. et al., (1996) "CD4-Induced Interaction Of Primary HIV-1 Gp120 Glycoproteins With The Chemokine Receptor CCR-5", Nature 384:179-183	

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